

Duke Energy Smart Saver® Program in Ohio Incentive Application Form and Instructions.

Important: Incomplete application forms or forms that cannot be read clearly will be returned for correction.

Please review before submitting an application:

1. Customer must be an Ohio Duke Energy customer and live in a single family home. (Condominiums, town homes, manufactured homes on a permanent foundation and duplexes are included.)
2. Qualifying systems must be installed on or after July 16, 2007.
3. Duke Energy customers receiving their natural gas service from Duke Energy must also receive their electric service from Duke Energy to be eligible for gas furnace incentives.
4. Complete all parts of the application that are applicable. Note the following required information, which is often overlooked:
 - a. Customer information including complete name and service address.
 - b. HVAC model numbers.
 - c. Air Conditioning and Refrigeration Institute (ARI) certification number or Gas Appliance Manufacturers Association (GAMA) model ID number.
 - d. HVAC dealer or builder signature verifying that all information on the application is accurate.
5. If available, include the Duke Energy customer account number to expedite incentive payments.
6. Attach the required ARI or GAMA sheet indicating the AFUE or SEER efficiency level of the installed gas furnace, heat pump or air conditioner. See www.aridirectory.org/ari or www.gamapower.org/.
7. HVAC dealer, dealer sales representative or builder must fill out the Participating Heating Dealer and Builder Sign-up Form prior to or in conjunction with their first incentive application. This also includes a W-9 form and signature.

Fax incentive applications and attachments to: 1-866-908-4921

Or Mail to:

Duke Energy
Smart Saver
5632 West 74th St.
Indianapolis, IN 46278

Please allow 3 to 4 weeks for incentive checks to be mailed

For program updates, current forms, frequently asked questions, customer brochures and heating dealer and builder lists see:

www.duke-energy.com/ohio/savings/programs.asp.

Look for Smart Saver®.

For questions not addressed on the Web site, call 1-866-785-6209.

Note: Duke Energy reserves the right to change the Smart Saver® program requirements and incentives at any time.

Ohio Smart Saver® Incentive Application

Revised
July, 2007

Fax toll free: 866-908-4921 **Mail to: Duke Energy Smart Saver, 5632 West 74th St., Indianapolis, IN 46278**
For Duke Energy customers only. Form must be filled in clearly. For questions call 1-866-785-6209
Incomplete applications or those failing program requirements will be returned via fax or e-mail notification.

Dealer or Builder fax number _____ Dealer or Builder e-mail address _____ If known, customer's Duke Energy account no.* _____
*The customer's Duke Energy account number can be found on their electric bill. If known, this will expedite incentive processing.
Incentive type: Gas Furnace Heat Pump AC New Home? or Existing Home?

HVAC dealer _____ HVAC dealer contact name _____ HVAC dealer phone _____
If new home - builder name _____ Builder contact name _____ Builder phone _____
Customer last name _____ Customer first name _____ Customer phone _____
Customer address _____ City _____ State _____ Zip _____

For new homes, provide subdivision name and lot number _____ New home closing date _____ New home spec or model? _____

HVAC Dealer & Builder Incentives Note: Incentive amounts are subject to change and availability.
The dealer incentive is payable to the HVAC dealership or the sales representative.
If a customer incentive applies to this job (existing homes), the customer incentive will be sent to the customer name & address above.
Builder incentives are paid to the builder, but they can be assigned to the homeowner with builder's approval and signature on line below.
Prior to receiving an incentive, a Participating Dealer/Builder Sign-up Form must be on record with Duke Energy.
The individual's or company's name on the pay line below must be the same name found on the Participating Dealer/Builder Sign-up form.
Builder incentive to customer? _____
Pay (Dealer or Builder incentive to) _____ Builder must sign here to transfer builder incentive. _____

Mail incentive to, Address _____ City _____ State _____ Zip _____

HVAC Equipment Information Important: New HVAC system must be installed on or after July 16, 2007
Model numbers should be written as they appear in the GAMA or ARI listings. Heat pumps and ACs must include an ECM fan motor.
Many SEER ratings require an exact furnace or air handler, ECM fan, TXV and/or a FDR switch. Please document all items that apply.
Heat pump details: Check if a heat pump replaced a gas or oil system Open loop geothermal? Closed loop geothermal?
Outside HP or AC coil model #: _____ Outside brand _____ Furnace or air handler model # _____
Inside HP or AC coil model #: _____ Coil brand _____ HP or AC install Date _____ If heat pump, back up fuel _____
Check to confirm : Variable speed ECM fan motor Thermal exp. valve (TXV)? Delay Switch (TDR, FDR)?

Important: HVAC dealer must submit ARI and/or GAMA documentation matching the new system(s) listed.
See www.aridirectory.org/ari or www.gamapower.org
ARI reference number _____ ARI SEER (EER for GT) _____

New gas furnace model number _____ Gas furnace install date _____ GAMA model ID number _____ GAMA AFUE _____

I have read and hereby agree to the Program Requirements as stated on the Participating Heating Dealer and Builder Sign-up Form on file with Duke Energy. I hereby certify that the above information is true and accurate to the best of my knowledge.

HVAC Dealer or Builder Signature _____ Date _____



Duke Energy use only:
 Verification of GAMA/ARI info _____
 Participating dealer/builder _____
Date: Error notification sent _____ Date: Application approved _____